

10-5-00.

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Attorney Docket Number : 2000-073
First Inventor or
Application Identifier : Liu, M. et al.
Title : ABA-TYPE BLOCK COPOLYMERS HAVING A
RANDOM BLOCK OF HYDROPHOBIC AND
HYDROPHILIC MONOMERS AND METHODS
OF MAKING SAME



Express Mail Label No. : EL493560505US
Date of Deposit : October 3, 2000

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above, addressed to: Assistant Commissioner of Patents, Box Patent Application Washington, D.C. 20231

By: Renee Samantia

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

UTILITY PATENT APPLICATION TRANSMITTAL

APPLICATION ELEMENTS

1. ☒ FEE

TOTAL AMOUNT OF PAYMENT: \$ 1142.00

METHOD OF PAYMENT

The Commissioner is hereby authorized to charge the filing fee and any other fees and credit any overpayments to:

Deposit Account Number: 50-0496

Deposit Account Name: Symyx Technologies

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

09/679127-100300

FEE CALCULATION

BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	\$710
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
SUBTOTAL (1)					(\$ 710.00)

EXTRA CLAIM FEES

Extra Claims				Fee from below		Fee Paid
Total Claims	29	-20** =	9	X	18	\$162.
Independent Claims	3	-3** =		X	80	\$
Multiple dependent claims (first appearance) \$ 270/135						\$ 270.
SUBTOTAL (2)						(\$ 432.00)

**or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

2. ☒ Specification [Total Pages 39]

3. ☒ Formal Drawing(s) (35 U.S.C. 113) [Total Sheets 2]

4. ☒ Oath or Declaration [Total Pages 3]

a. ☒ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed) [Note Box 5 below]

i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33 (b)

5. ☐ Incorporation By Reference (useable if Box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is
supplied under Box 4b, is considered to be part of the disclosure of the accompanying application
and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. ☐ Nucleotide and/or Amino Acid Sequence Submission ☐ Computer Readable Copy
(if applicable, or necessary) ☐ Paper Copy (identical to computer copy)
☐ Stmt verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet and document(s))
9. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity Statement ☐ Statement filed in prior application,
Status still proper and desired (PTO/SB/09-12)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: Permit to File Abroad (section 23(1))

*A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.

17. **IF A CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ Conversion

of prior application No: _____

Prior application information: Examiner _____ Group/Art Unit _____

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Date: 30 Oct. 2000

Kenneth A. Kras

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